Gates & Cooper LLP

Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, California 90045

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FAX TRANSMISSION TO USPTO

TO: Commissioner for Patents

Atm: Examiner Not yet assigned

Patent Examining Corps

Facsimile Center

Alexandria, VA 22313-1450

FROM:

George H. Gates

G&C 30794.94-US-WO

OUR REF.: TELEPHONE:

+13106418798

(310) 642-4146

Total pages, including cover letter: 13

PTO FAX NUMBER: (571) 273-8300

If you do NOT receive all of the pages, please telephone us at (310) 641-8797, or fax us at (310) 641-8798.

Title of Document Transmitted:	INFORMATION DISCLOSURE STATEMENT, PTO FORM 1449, CITED REFERENCE, AND EPO COMMUNICATION.
Applicant:	Benjamin A. Haskell et al.
Serial No.:	10/537,385
Filed:	June 3, 2005
Group Art Unit	2811
Title:	GROWTH OF PLANAR, NON-POLAR A-PLANE GALLIUM NITRIDE BY HYDRIDE VAPOR PHASE EPITAXY
Our Ref. No.:	G&C 30794.94-US-WO

Please charge all fees to Deposit Account No. 50-0494 of Gates & Cooper LLP.

Reg. No.: 33,500

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Office on the date shown below.

T-770 P.002

F-013

DEC 2 0 2005

Confirmation No.: 4538 Due Date: January 19, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Benjamin A. Haskell et al.

Examiner:

Not yet assigned

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10/537,385

Group Art Unit:

2811

Filed:

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Title:

June 3, 2005 Docket:

GROWTH OF PLANAR, NON-POLAR A-PLANE GALLIUM NITRIDE BY HYDRIDE

VAPOR PHASE EPITAXY

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office

on December 20, 2005.

By:

MAJL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.

Information Disclosure Statement and Form PTO-1449.

Cited Reference(s).

EPO Communication Pursuant to Article 96(2) dated October 15, 2005.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

Customer Number 22462

GATES & COOPER LLP

Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, CA 90045

(310) 641-8797

Name: George H. Gates

Reg. No.: 33,500

GHG/sjm

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12:09PM 12-20-2005

FROM-Gates & Cooper LLP

+13106418798

T-770 P.003

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Not yet assigned

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10/537,385 June 3, 2005 Group Art Unit: Docket:

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HYDRIDE VAPOR PHASE EPITAXY

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Trademark Office on December 20, 2005.

Name: George H. Gates

INFORMATION DISCLOSURE STATEMENT(37 C.F.R. §197(b)/(c))

MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 2213-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. Included are references that were recently cited in EPO Communication Pursuant to Article 96(2) dated October 15, 2005.

This statement should be considered because it is submitted prior to a first Office. Action on the merits, and also less than three months after the mailing date of a Communication from a foreign patent office in which the aforementioned items of information were first cited, but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a Notice of Allowance under 37 C.F.R. § 1.311. No fee is due as this statement is submitted as certified below under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

In accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that this statement is submitted wherein each item of information listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart foreign application within three months of filing this statement (certification under 37 C.F.R. §1.97(e)(1)).

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each foreign patent document and each non-patent document listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

Respectfully submitted,

GATES & COOPER LLP Attorneys for Applicant(s)

Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, California 90045

(310) 641,8797

Date: December 20, 2005

orge H. Gates

Reg. No.: 33,500

GHG/sjm

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Date Faxed: December 20, 2005

DEC 2 0 \$2095 of 1

Form 1449*	Docket Number: C&C 30794.94-US-WO Application Number: 10/537,38				
INFORMATION DISCLOSURE STATEMENT	Applicant: Benjamin A. Haskell et al.				
IN AN APPLICATION	Filing Date: June 3, 2005	Group Art Unit: 2811			

EXAMINER	DOCUMENT NO.	U.S. P.	NAME	CLASS	SUBCLASS	FILING	
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	NON-PA	TENT DOCUMENT	S (Including Author, Title, D	ate, Pertinent Pa	ges, Etc.)		
	Amano,	H. et al., "Stress and	Defect Control in GaN Us	ing Low Temp	erature Interla	ıyers," Jpn.	J. Appl
	rnys. Vo	ol. 37 (1998), pp. L 15	4 V-L 1342				
	 						

EXAMINER:	DATE CONSIDERED:					
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in						
conformance and not considered. Include copy of this form for next communication to the Applicant						

"Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

G&C 30794.94-US-WO